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**Complexity Theory, Semester I 2017 - IIC3242**

**Homework 2**

Deadline: Tuesday, April 4th, 2017

## 1 A cool reduction [7 points]

Usual regular expressions use the operators of union, concatenation and Kleene star to define sets of words over some finite alphabet  $\Sigma$ . In this problem we will explore what happens when we extend these expressions with two additional operators: intersection and mixing.

An *extended regular expression (ER)* over an alphabet  $\Sigma$  is defined as follows.

1.  $\varepsilon$  is an ER;
2. Every  $a \in \Sigma$  is an ER;
3. If  $e_1$  and  $e_2$  are ERs, then so is  $e_1 + e_2$  (union);
4. If  $e_1$  and  $e_2$  are ERs, then so is  $e_1 \cdot e_2$  (concatenation);
5. If  $e_1$  and  $e_2$  are ERs, then so is  $e_1 \cap e_2$  (intersection);
6. If  $e_1$  and  $e_2$  are ERs, then so is  $e_1 \& e_2$  (mixing); and
7. If  $e$  is an ERs, then so is  $e^*$  (Kleene star).

Every extended regular expression  $e$  defines a set of words  $L(e)$  in the following way:

1. If  $e = \varepsilon$  then  $L(e) = \{\varepsilon\}$ ;
2. If  $e = a \in \Sigma$  then  $L(e) = \{a\}$ ;
3. If  $e = e_1 + e_2$  then  $L(e) = L(e_1) \cup L(e_2)$ ;
4. If  $e = e_1 \cdot e_2$  then  $L(e) = \{w \mid w = w_1 \cdot w_2 \text{ and } w_1 \in L(e_1), w_2 \in L(e_2)\}$ ;
5. If  $e = e_1 \cap e_2$  then  $L(e) = L(e_1) \cap L(e_2)$ ;
6. If  $e = e_1 \& e_2$  then  $L(e) = \{w_1 \& w_2 \mid w_1 \in L(e_1), w_2 \in L(e_2)\}$ ; where the mixing of two words  $x, y$  over  $\Sigma$ , denoted  $x \& y$ , is defined as the *set of all words* of the form  $x_1 \cdot y_1 \cdots x_k \cdot y_k$ , where:
  - $k > 0$  and
  - $x_i, y_i$  are words over  $\Sigma$  (they can be  $\varepsilon$ ) and
  - $x = x_1 \cdot x_2 \cdots x_k$  and
  - $y = y_1 \cdot y_2 \cdots y_k$ .
7. If  $e = e_1^*$  then  $L(e) = \{w_1 \cdot w_2 \cdots w_k \mid k \geq 1 \text{ and } w_i \in L(e_1) \text{ for } i = 1 \dots k\} \cup \{\varepsilon\}$ .

To give an example of how the new operations work consider the alphabet  $\Sigma = \{a, b, c\}$  and an expression  $e = ab\&cca$ . Then we have that  $acba \in L(e)$ , since we can decompose  $x = ab$  as  $x_1 = a$  and  $x_2 = b$ ; and we can decompose  $y = cca$  as  $y_1 = cc$  and  $y_2 = a$ . Similarly we have that  $accab \in L(e)$ , but this time  $y = cca$  is decomposed as  $y_1 = cca$  and  $y_2 = \varepsilon$ . It is also easy to check that e.g.  $cbaca$  does not belong to  $L(e)$ , since it does not have an  $a$  before a  $b$ , thus it is not possible to construct the word  $ab$ . Similarly  $abc\&(\Sigma \cup \varepsilon)^n$  will mix any length  $n$  word over  $\Sigma$  into  $abc$ .

We define the following problem:

$$\text{MEMBERSHIP} = \{(\Sigma, e, w) \mid e \text{ is an ER over } \Sigma \text{ and } w \in L(e)\}.$$

By giving a reduction from the problem 3SAT show that  $\text{MEMBERSHIP}(\Sigma)$  is NP-hard (6.5 points). Notice that one input to MEMBERSHIP is the alphabet  $\Sigma$ . Argue why this is not necessary and why you can prove NP-hardness even for one particular finite alphabet (0.5 points).

**Hint:** It's easy. You might want to make heavy use of  $\varepsilon$  and intersection. It is possible to use the mixing operator  $|$  only once (although you are allowed to use it as many times as you wish). One way is to try and check the membership of a word  $v_1 \cdot v_2 \cdots v_k$ , which is simply a concatenation of all the variables appearing in a 3CNF formula (assuming  $\Sigma$  equals the set of variables in your formula). You could then, for each clause  $i$ , define an expression  $C_i$  which contains all words  $w$  of length at most  $n$  such that: (1) at least one positive literal from  $C_i$  appears as a symbol in  $w$ ; or (2) there is at least one negative literal  $\neg v_i$  in  $C_i$  such that  $v_i$  does *not* appear in  $w$ . From here it is quite easy to get the required expression using intersections and interleaving.